



Effective Management of Global Grants

GLOBAL GRANTS

- Large, long-term projects
- International partnership
- Alignment with areas of focus
- Sustainable, measurable outcomes
- World Fund match



Outline

- Planning
- Proposal Process
- Financing
- Application Process
- Implementation
- Reporting
- Public Image

Planning

Key Elements of Effective Global Grants

- Partners - (**primary host sponsor**) and (**primary international sponsor**).
 - Passionate & Committed
 1. active participation of Rotarians, in the host and international sponsor club or district.
 2. Specific tasks assigned both host & international
 - Approved by their District **Qualified**
 - Experienced – Establish 3 person grant committee to oversee the application, implementation, and reporting processes

Key Elements of Effective Global Grants

- Meets Community Needs
 - Assessments / Visits
 - Measurements / Data
- Financing / Commitment
 - Host & International Partners
 - Cooperating Organizations

NEEDS ASSESSMENT

- Conduct thorough assessment of community needs and strengths
- Talk to community members directly
- Start with needs assessment rather than project plan



COMMUNITY ASSESSMENT TOOLS

*A Companion Piece to Communities in Action:
A Guide to Effective Projects (605A)*



ROTARY INTERNATIONAL


AREAS OF FOCUS

- Peace and conflict prevention/resolution
- Disease prevention and treatment
- Water and sanitation
- Maternal and child health
- Basic education and literacy
- Economic and community development



AREA OF FOCUS APPENDIX C

- Review areas of focus policy statements
- Identify most appropriate area of focus based on needs assessment
- Design project based on identified area of focus
- Ensure that all project activities relate to the area of focus goals
- Refer Appendix C

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AREAS OF FOCUS POLICY STATEMENTS

With respect to the areas of focus policy statements, The Rotary Foundation notes that

1. The goals of the Foundation are to increase efficiency in grant processing and ensure quality of funded projects;
2. The content of each policy statement is intended to represent eligible and ineligible activities;
3. Eligible activities reflect those that Rotary clubs and districts have most often implemented;
4. Project planning is a bottom-up and host club/district-driven process;
5. All grant requests must comply with the policy statements related to each area of focus.

PEACE AND CONFLICT PREVENTION/RESOLUTION

Rotary supports the training, education, and practice of peace and conflict prevention and resolution.

Area of Focus Statement of Purpose and Goals

TRF enables Rotarians to promote the practice of peace and conflict prevention/ resolution by:

1. Training leaders, including potential youth leaders, to prevent and mediate conflict;
2. Supporting peace-building in communities and regions affected by conflict;
3. Supporting studies for career-minded professionals related to peace and conflict prevention/resolution.

Parameters for Eligibility

TRF considers activities targeting the following to be within the scope of the peace and conflict prevention/resolution area of focus:

1. Community activities targeting non-Rotarian participants, including conferences, trainings, and camps, in support of nonviolence, peace-building, and human rights;
2. Facilitated conflict resolution workshops related to topics addressing community needs such as policy development, business activities across conflict lines, educational reform, and peace journalism;
3. Supporting initiatives addressing psychological effects of conflict;
4. Educating youth on preventive measures to avoid conflict;
5. Training programs or campaigns to address negative social dynamics in a community, including but not limited to anti-gang efforts and those to overcome radical differences;

Areas of Focus Policy Statements (May 2014)

1

DETAILED PROJECT PLAN

- Provide detailed project implementation plan
- Involve beneficiaries in project design



ROTARIAN INVOLVEMENT

- Provide detailed list of tasks for host and international Rotarians



SUSTAINABILITY

Clearly explain specific sustainability components



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SIX STEPS TO SUSTAINABILITY

Sustainability means different things to different organizations. For Rotary, sustainability means providing long-term solutions to community needs that the beneficiaries can maintain after grant funding ends. Here are six steps that can make your project sustainable:

1) Assess community needs

Have local sponsors conduct a thorough assessment to identify a community need that the sponsors can address in a way that fits beneficiaries' values and culture. Involve multiple community partners in the planning process.

2) Use local materials

Purchase equipment and technology from local sources when possible. Be sure that spare parts are readily available. Involve community members in the selection of technology and equipment, and train them to operate, maintain, and repair it on their own.

3) Identify a local funding source

Confirm the existence of a local funding source to support a project's long-term operation, maintenance and repair. Compensate the project's suppliers and vendors appropriately so they will have an incentive to continue providing services.

4) Provide training, education, and outreach

By providing training, education, and community outreach you will strengthen beneficiaries' ability to meet project objectives. Confirm that there is a plan in place to transfer knowledge to new beneficiaries. Collaborate with local agencies and organizations to supply needed expertise.

5) Motivate beneficiaries to take ownership

Provide incentives for beneficiaries and project participants to continue their support. Identify individuals willing to lead beneficiaries in sustaining project outcomes. Prepare the community to assume ownership of the project once grant funds are expended.

6) Monitor and evaluate

Develop clear and measurable project objectives, and identify methods for collecting project data. Establish baseline data that can be used to demonstrate significant change for at least three years.

Six Steps to Sustainability (July 2014)

1

6

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Proposal Process

Proposal Steps

- Club / Committee Communication
 - Interest
 - Plans / Outline
 - Proposal Brief
- Review / Assistance
- District Approval
 - Club qualification & MOU
 - DDF allocation / budget
- Proceed to Online Grant Tool

Financing

SHARE SYSTEM

Effective 1 July 2015

District Designated
Fund (DDF)

\$1,000
contribution

World Fund

\$500

\$500

Earmarked

Up to \$250
for District Grants

- 1:1 match of World Fund to DDF for Global Grants
- DDF and World Fund can also support PolioPlus or Rotary Peace Centers

- Graphic does not include DDF generated by Endowment Fund-SHARE spendable earnings.
- Unused DDF will roll forward.
- 5% of the contribution is earmarked to cover operating expenses if investment earnings are insufficient, or to fully fund the operating reserve (up to three times annual operating expenses).

5% CASH CONTRIBUTIONS FOR GLOBAL GRANTS



FINANCING

FINANCING

The Rotary Foundation funds global grants from the World Fund, and awards range from US\$15,000 to US\$200,000. The Foundation matches cash contributions at 50 percent and District Designated Fund (DDF) contributions at 100 percent. The Foundation will also match non-Rotarian contributions toward a grant, provided they do not come from a cooperating organization or a beneficiary.

To determine the World Fund match for your global grant, list all sources of funding, specifying contributions from cash, DDF, and other sources. Non Rotarian contributions with no match from TRF can be included in the grant financing if they are being used to purchase grant budget items. These contributions should not be sent to TRF. Note that the total financing must be equal to the total budget of your activity.

*NOTE: Any grant cash contributions sent to TRF must include an additional 5% to support processing expenses.

After you have added all funding sources and the requested World Fund match, click "Save" to save your grant financing.

Resources

- [Grant Terms and Conditions](#)
- [Grant Management Manual](#)
- [Donor Advised Fund FAQ](#)

Funding Method	Organization	Amount (USD)	Extra support*	Contribution + Extra support*	
Cash from club	Huntsville	\$20,000.00	\$1,000.00	\$21,000.00	Edit
District Designated Fund (DDF)	7010	\$12,000.00	\$0.00	\$12,000.00	Edit
Add Funding Source					

Funding Summary

DDF contributions: \$12,000.00

Cash contributions: \$20,000.00

World Fund match maximum: \$22,000.00


[Edit](#)

Financing subtotal (matched contributions + World Fund): \$54,000.00

Application


GLOBAL GRANT APPLICATION

- Applications submitted online: www.rotary.org/grants
- No application deadlines
- **Submit applications at least 90 days prior to travel**

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Home | Learning & Reference | Learn by Topic | Apply for Grants GIVE

APPLY FOR GRANTS





ROTARY GRANTS


The Rotary Foundation offers grants that support a wide variety of projects, scholarships, and training that Rotarians are doing around the world. Explore the grant types and find one that's right for your project.

- [Grant application tool](#)
- [District grants](#)
- [Global grants](#)
- [Packaged grants](#)
- [Qualification](#)
- [Grant process](#)
- [Grant activities](#)
- [Original Foundation grants](#)


Tell us your role and your goal. We'll get you there.

I am a... 

I want to... 



FEATURED STORY



Training nurses to save mothers and babies in East Africa

A partnership between Aga Khan University and Rotary is turning working nurses into professionals.

BUDGET

- Provide detailed budget
- Include vendor information and explain how vendors were selected

Objectives	Areas of Focus	Participants	Budget	Financing	DRFC Authorizations	Authorizations
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? Click "Save & Exit" at the bottom of any tab to save your progress and return to the application later.

BUDGET

Select the local currency for your budget and enter the current rate of exchange to 1 U.S. dollar. Obtain the current RI exchange rate from Rotary's website. If your country is not on the official RI exchange rates list, visit the Oanda or Bloomberg website to obtain the current rate.

Detail your proposed expenses by adding items to the budget. Note that the total budget must be equal to the total financing of your activity.

Resources

- [Grant Terms and Conditions](#)
- [Grant Management Manual](#)
- [RI Exchange Rates](#)
- [Oanda Currency Converter](#)
- [Bloomberg Currency Conversion](#)

Local currency: USD Exchange rate to 1 USD: 1 [Change](#)

No.	Description	Supplier	Category	Local cost (USD)	Cost in USD
Add Budget Item				Total budget:	0
Export to Excel					0

SUPPORTING BUDGET DOCUMENTS

Upload any documents, such as price bids or pro forma invoices, to support the expenses listed.

After selecting the document you wish to upload, click "Save" to view the uploaded file on this page.

TRAINING INFORMATION

- Include detailed information for each training opportunity
 - Frequency
 - Curricula
 - Target audience (specific beneficiaries, adults vs. children, etc.)
 - Trainer



MEASUREMENT AND EVALUATION

Include specific information on Measurement and Evaluation – Refer Appendix D

Economic and community development

Which goals will your activity support?

☒ Building the capacity of entrepreneurs, community leaders, local organizations, and communities to promote economic development in impoverished communities
☐ Developing opportunities for productive work
☐ Reducing poverty in underserved communities
☐ Supporting studies for career-minded professionals related to economic and community development

How will you meet these goals?

How will you measure your impact?

Note that global grant sponsors of approved applications will be expected to account for direct beneficiaries in the final report.

No.	Measure	Measurement Method	Measurement Schedule
<div>Add Measure</div>			

Who will be responsible for collecting information for monitoring and evaluation?

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GLOBAL GRANT MONITORING AND EVALUATION
PLAN SUPPLEMENT

Global grant sponsors for humanitarian projects and vocational training teams must submit a monitoring and evaluation plan with their grant application. This supplement contains The Rotary Foundation standard measures for each area of focus as well as the standard terminology, definitions, and measurement methods. Following these standards helps ensure that the Foundation can track worldwide Rotarian efforts and accomplishments, and publish the results using the definitions used by other nonprofit organizations.

STEPS FOR MONITORING AND EVALUATION PLAN

1. Establish clear project goals.
2. Identify applicable Rotary Foundation standard measures.
3. Identify additional project-specific measures.*
4. Establish baseline data and the methods you'll use to collect data.
5. Submit the plan as part of the online application.
6. Collect data during the project, and monitor progress.
7. Evaluate data and submit results to the online report. Modify the documentation to include actual results.

*Add only measures that clearly link to specific goals and will demonstrate the project's impact on participants' lives, knowledge, or health.

Note: On the following pages, Rotary Foundation standard terms used in measures are shown in **bold**; definitions are listed in the glossary.

Global Grant Monitoring and Evaluation Plan Supplement (July 2014)

1

COOPERATING ORGANIZATION MOU

- Include MOUs for each cooperating organization
- Refer Appendix E

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MEMORANDUM OF UNDERSTANDING

between

[HOST PARTNER]

and

[INTERNATIONAL PARTNER]

and

[COOPERATING ORGANIZATION]

1. SUBJECT

Global Grant #[GRANT NUMBER] in [COMMUNITY], [COUNTRY]

2. PURPOSE

A cooperating organization is any reputable non-Rotary organization or academic institution that provides expertise, infrastructure, advocacy, training, education or other support for the grant. Cooperating organizations must comply with all reporting and auditing activities required by the Rotary Foundation and provide receipts and proof of purchase as required. This document serves to establish a framework of cooperation and agreement between the aforementioned parties as it pertains to the implementation of a project financed by a Rotary Foundation Global Grant.

3. PRIMARY CONTACTS

	HOST PARTNER	INTERNATIONAL PARTNER	COOPERATING ORGANIZATION
Name	[NAME]	[NAME]	[NAME]
Address	[ADDRESS]	[ADDRESS]	[ADDRESS]
Phone	[PHONE]	[PHONE]	[PHONE]
Email	[EMAIL]	[EMAIL]	[EMAIL]

Cooperating Organization Memorandum of Understanding (April 2014)

1

COMPLETE APPLICATION

■ Complete the entire application!

Rotary Foundation GLOBAL GRANT HUMANITARIAN APPLICATION	
Global Grant Project Title: <u>Gift Of Life Cebu (GOL-Cebu) Program</u>	
Global Grant Status: <u>Draft</u>	
Global Grant Tracking Number: <u>None</u>	
Host and International Sponsor Information	
Host Sponsor: <u>RC Cebu West</u> <u>Jose Ma. Luis Cupin (269960)</u> Primary Contact District: <u>3860</u> Club: <u>RC CEBU WEST</u>	International Sponsor: <u>Gyeongju Central RC</u> Primary Contact: District: <u>3630</u> Club: <u>Gyeongju Central RC</u>

Section A: Project Summary
(1) Where will the project take place?

Community: <u>x</u>
City/Village: <u>x</u>
Country: <u>Philippines</u>

(2) In a few short sentences, tell us your objectives for this global grant.

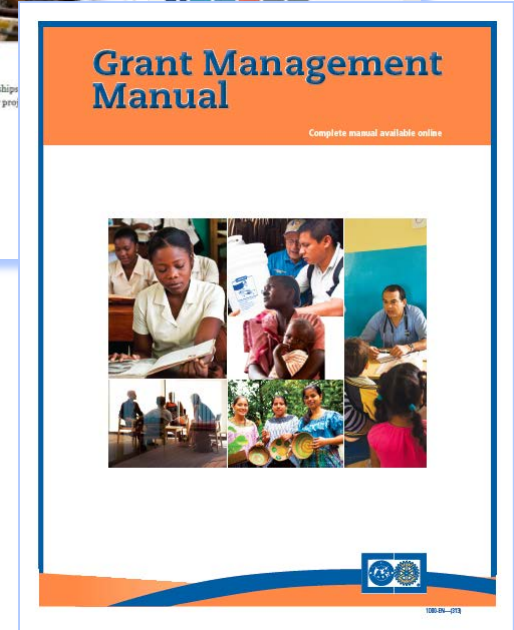
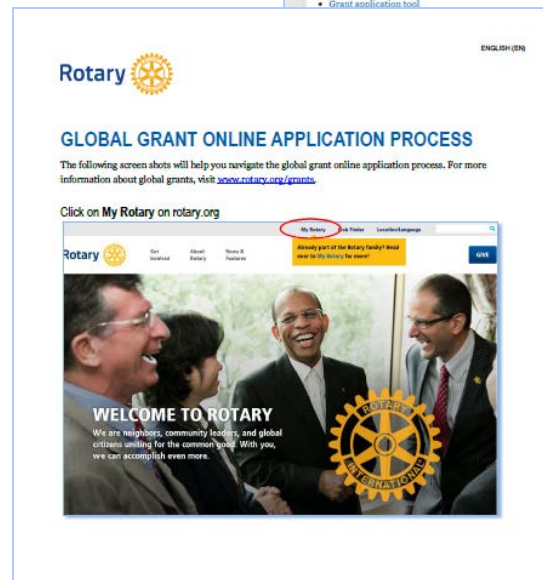
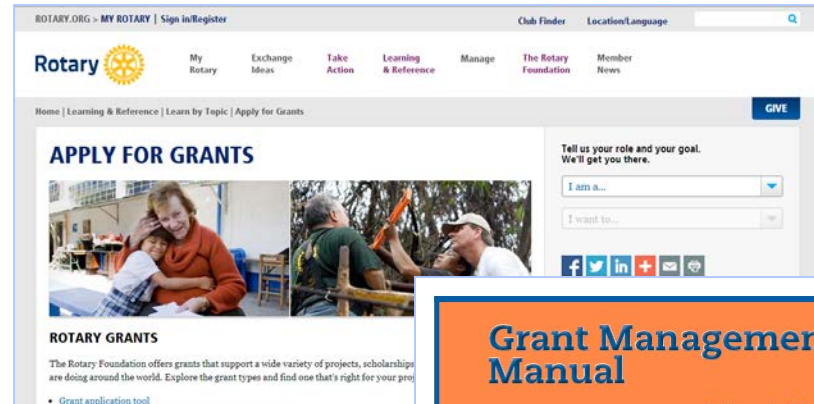
Children born with congenital heart defect is a major global health issue. In the Cebu City, Cebu, Philippines alone, the list indigent children wait listed is growing at Cebu Doctors University Hospital (CDUH) & Perpetual Succor Hospital (PSH). A child with congenital heart problems suffers from general weakness, and is prone to pneumonia and other respiratory and cardiovascular complications, which eventually could become life threatening. The objective of this global grant is to operate on children with heart defects and give them a gift of life.

(3) Who will benefit from this global grant? Provide estimated number of direct beneficiaries.

Children with congenital heart defect residing in Cebu City and other major cities and province in Rotary District 3860 shall benefit from the program. We estimate to touch the lives of about ____ children.

RESOURCES

- www.rotary.org/myrotary/grants
- Grant Management Manual
- Online application process aide
- Learning Center
- Rotary Support Center
- Grants staff



Implementation

Project Implementation

- Checklist Items
- Bank Accounts / Payments
- Host Club Committee
 - Provides detailed plan & schedule
 - Provides updates and progress reports
 - Sends pictures
 - Int'l Club reports to partners
 - Public image campaign
- Host & Int'l Partners Manages

Reporting

When / What / How?

- Changes to budget
- Changes to scope
- Changes to project management
- 12 month progress
- Final, 60 days after final expenditures

How..

- Email to TRF Grant Coordinator

Public Image

Public Image Ideas

- Project Blog / Share and distribute link
- Social Media
- Newsletters: Club/District/Zone
- Rotary “Voices”... submit to blog@rotary.org
- Rotary “Showcase”... www.myrotary.org

Effectively Managing Global Grants

- ✓ Planning
- ✓ Proposal Process
- ✓ Financing
- ✓ Application Process
- ✓ Implementation
- ✓ Reporting
- ✓ Public Image